



IFA

ocket No.: 057810-0076

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
Daijiro INOUE, et al.	:	Confirmation Number: 2234
Application No.: 10/663,714	:	Group Art Unit: 2826
Filed: September 17, 2003	:	Examiner: Ahmed N. Sefer
For: NITRIDE-BASED SEMICONDUCTOR LIGHT-EMITTING DEVICE	:	

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

CERTIFICATION PARAGRAPH

The undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement as described in 37 CFR 1.97(e)(1).

The references CN1265228A and CN1340215A were cited in a corresponding foreign application Chinese Office Action and their relevance is discussed therein. Please note that the first cited reference, JP 2001-77412A, is not submitted because it was cited by the Patent and Trademark Office on March 2, 2005. A copy of the Chinese Office Action is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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**Date: March 19, 2007**

**Please recognize our Customer No. 20277  
as our correspondence address.**

INFORMATION DISCLOSURE  
CITATION IN AN  
APPLICATION

(PTO-1449)

ATTY. DOCKET NO.  
**057810-0076**SERIAL NO.  
**10/663,714**APPLICANT  
**Daijiro INOUE, et al.**FILING DATE  
**September 17,  
2003**GROUP  
**2826**

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 2003-010993 A1	01-16-2003	SHUJI, N et al.	Corresponds w/CN 1265228 A
		US 6,835,956B1	12-28-2004	SHINICHI, N. et al.	Corresponds w/CN 1340215 A
		US			
		US			

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number 4 -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
		CN 1265228 A	08-30-2000	NICHIA KAGAKU KOGYO KK	Corresponds w/US 2003-010993 A1	Yes	No X
		CN 1340215 A	03-13-2002	NICHIA CHEMICAL INDUSTRY CO LT	Corresponds w/US 6,835,956 B1	Yes	No X

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		Chinese Office Action issued in corresponding Chinese Patent Application No. 03159402.6, dated January 19, 2007	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.